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ď	0001/PTO U.S. Department of C		nt a	nd Tra		J.S. DEPARTMENT OF COM	
3	0001/PTO U.S. Department of C Rev. 10/95 Patent and Tradem		Ap	plicati	ion Number	09/818,199	
			Fili	ing Da	ite	March 26, 2001	
-	TRANSMITTAL FORM	VI	Fir	st Nar	med Inventor	Steven W. Meeks .	
1	(to be used for all correspondence during per filed application)	ndency of	Gr	oup A	rt Unit Number	2877	
			Ex	amine	r Name	Unknown	
	Total Number of Pages in This Submission	7*	Att	lorney	Docket Number	20830-05727	
1	ENCLO	SURES	(c	heck	all that apply	()	
	Fee Transmittal Form (in duplicate)				Issue Fee Transi		1
	Check Enclosed				Letter to Chief Dr	raftsperson	
	Return Receipt Postcard				Formal Drawing(s):	
	Response to Notice of Missing Parts					of Figures []	
	☐ Assignment & Assignment Recordation					ication to Board of Appeals a	nd
	Declaration & Power of Attorney			1	Interferences		
1	Small Entity Statement				Appeal Commun		
I	Information Disclosure Statement & PTO			ĺ _	(Appeal Notice, I	Brief, Reply Brief)	
1	Copies of IDS Cited Reference	rs			Certified Copy of	Priority Document(s)	
	Request to Withdraw as Attorneys (in trip	olicate)			After Allowance (Communication to Group	
	Request for Corrected Filing Receipt				Application Data	Sheet	
1	Amendment/Response: [] Page(s)		1		Copy of Formalit	ies Letter	
1	After Final						
1	Status Request						
-	Revocation and Power of Attorney						
l	REMARKS: *Excludes page count of cited re	ferences					
ſ	SIGNATU	JRE OF /	ATT	ORN	NEY OR AGEN	ıŤ	
l	Signature: 2-Mynn	W E	7	The sale	The		
ľ	Attorney/Reg. No.: Lawrence E. Carter, Re		No.	51,53	2	Dated: 5/16/	2003
ſ	CF	ERTIFICA	TE	OF	MAILING		
	I hereby certify that this correspondence, including the first class mail in an envelope addressed to. Commiss Express Mail Mailing Number is filled in below, then the Mail Post Office to Addressee" service pursuant to 37	enclosures in sioner for Pate his correspond	denti	fied abo	ove, is being deposite ox 1450, Alexandria,	VA 22313 on the date shown bei	low. If the
	Signature: 7-MMN	111:	4	8	urk-		
ľ	Typed or Printed Name: Lawrence E. Cart	ter				Dated: 5/16	12003
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PTO/SB/17 (10-02) (Modified)
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SE ENT TO ANOMITTAL	1	Complete if Known
FEE TRANSMITTAL	Application Number	09/818,199
C EV 0000	Filing Date	March 26, 2001
for FY 2003	First Named Inventor	Steven W. Meeks
Patent fees are subject to annual revision.	Examiner Name	Unknown
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	2877
TOTAL AMOUNT OF PAYMENT (\$) 0	Attorney Docket No.	20830-05727

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit Card Money Order Other None Deposit Account:	3. AI	3. ADDITIONAL FEES				١,
Deposit Account Number 19-2555	Large Entity Small Entity			Entity	Fee Description	Fee Pald
Deposit Account Name Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
The Commissioner is authorized to: (check all that apply)	1051	130	2051	65	Surcharge - late filing fee or oath	
Charge fee(s) indicated below	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Charge any additional fee(s) required under 37 CFR 1.16 –	1053	130	1053	130	Non-English specification	
1.21 or credit any overpayments to the deposit account. ¹	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee to	1804	920"	1804	920*	Requesting publication of SIR prior to Examiner action	
the deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
A Duplicate Copy of this authorization is attached	4		1			
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month ^T	
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month [†]	
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month [†]	
Fee Fee Fee Fee Description Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month ^T	
Code (\$) Code (\$)	1255	1,970	2255	985	Extension for reply within fifth month ^T	
1001 750 2001 375 Utility filing fee	1401	320	2401	160	Notice of Appeal	
1002 330 2002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing	
1004 750 2004 375 Reissue filing fee	1451	1,510		1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (S) 0	1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue fee (or reissue)	
Extra Claims Fee from Fee Pald below	1502	470	2502	235	Design issue fee	1 1
Total Claims -20**= X 18 #	1503	630	2503	315	Plant issue fee	
Independent Claims 3 = 0 X 84 =	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent ≤	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	1
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Statement	
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1 129(a))	
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1203 280 2203 140 Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other f	ee (specif	y)			
SUBTOTAL (2) (5) 0	1				SUBTOTAL (3) (5) 0	
or number previously paid, if greater, For Reissues, see above		ed by Basi	Cales C.	- Daile	SUBTOTAL (3)	
or number previously paid, if greater, For Reissues, see above	-Keanc	ed by Basi	riing Fe	ee raio		

SUBMITTED BY				Complete (if appli	cable)
Name (Print/Type)	Lawrence E. Carter	Registration No (Attorney/Agent)	51,532	Telephone (202)	261-0424
Signature	Laurence	à Carle	Dat	5/1	10/2003

MAY 1 9 2003 IN THE INITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S)! Steven W. Mecks et al.

APPLICATION NO.:

09/818,199

FILING DATE:

March 26, 2001

TITLE:

A Combined High Speed Optical Profilometer & Ellipsometer

EXAMINER:

Unknown

GROUP ART UNIT:

2877

ATTY. DKT. NO.:

20830-05727

CERTIFICATE OF MAILING

1 hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

continued examination (RCE) under 37 CFR § 1.114;

This Information Disclosure Statement is being filed:

\boxtimes	within three months of the filing date of the application, or date of entry
	into the national stage of an international application, or before the mailing
	date of a first office action on the merits, whichever event last occurred;
	before the mailing of a first official action after the filing of a request for

after three	months of the filing date of this national application or the date						
of entry of the national stage in an international application, or after the							
mailing date of the first official action on the merits, whichever event last							
occurred,	but before the mailing date of the first to occur of either: (1) a						
final action	n under 37 CFR §1.113; or (2) an action that otherwise closes						
prosecutio	n in the application, and:						
☐ at	tached hereto is the fee set forth under 37 CFR §1.17(p) for						
su	ibmission of this Information Disclosure Statement under 37						
C	FR.§ 1.97(c); OR						
☐ A	pplicant certifics pursuant to 37 CFR § 1.97(e) that:						
	each item of information contained in this Information						
	Disclosure Statement was first cited in a communication						
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	application not more than three months prior to the filing of						
	this Statement; OR						
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	and, to the knowledge of the person signing this						
	certification after making reasonable inquiry, no item of						
	information contained in this Statement was known to any						
	individual designated under 37 CFR § 1.56(c) more than						
	three months prior to the filing of this Statement;						
on or befo	ore the payment of the issue fee but after the mailing date of the						
first to oc	cur of cither: (1) a final action under 37 CFR § 1.113; (2) a						
notice of	allowance under 37 CFR § 1.311; or (3) an action that otherwise						
closes pro	secution in the application, and:						
☐ Aj	oplicant certifies pursuant to 37 CFR. § 1.97(e) that:						
	each item of information contained in this Information						
	Disclosure Statement was cited in a communication from a						
	foreign patent office in a counterpart foreign application not						
	more than three months prior to the filing of this Statement;						
	or						
	no item of information contained in this Information						
	Disclosure Statement was cited in a communication from a						
	foreign patent office in a counterpart foreign application						
	and, to the knowledge of the person signing this						

			certi	fication	after making reasonable	inquiry, no item of			
			info	rmation	contained in this Stateme	ent was known to any			
			indiv	vidual de	signated under 37 CFR	§ 1.56(c) more than			
					prior to the filing of this				
		П			e fee set forth under 37 C				
		ш			formation Disclosure St				
					normation Disclosure St	atement under 37			
			CFR.§ 1.97						
					e fcc. Applicant request				
					n Disclosure Statement b	•			
		accordi	ing to 37 CF	R § 1.97	(i), although the informa	tion may not be			
		conside	ered by the U	SPTO.					
	This ap	plicatio	on relies, und	er 35 U.	S.C. § 120, on the earlier	filing date of prior			
	applica	tion No	.[APPLICA	TION N	JMBER], filed on [FILI	NG DATE], and the			
	referen	ces cite	d therein are	hereby i	eferenced, but are not re	quired to be provided			
	in this a	applicat	tion under 37	CFR §	1.98(d).				
	Each it	em of in	nformation c	tion contained in this Information Disclosure Statement was					
	cited in	a comi	munication fi	om a fo	reign patent office in a co	ounterpart application,			
	and the	commi	unication wa	s not rec	eived by any individual of	designated in 37 CFR			
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			CFR § 1.704						
\bowtie					uired for the consideration	on of this Information			
23	Disclos			cc is icq	uned for the considerant	ni or uns information			
Consi					avorable action are solic	i.a.d			
Consid	ieration (or the ii	isica referenc	es and i	avorable action are solic	itca.			
				Respo	ectfully submitted,				
				STEV	'EN W. MEEKS ET AL				
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n. M.	//	. 26		-	1 Days	2 f. f.			
Dated:	4 14	1 m	23	Ву: _	Lawrence E. Carter, Re	No : 51 522			
	1				Fenwick & West LLP	g. 140 51,552			
					Silicon Valley Center				
					801 California Street				
					Mountain View, CA 94	041			
					Tel.: (202) 261-0424				
					Fax.: (202) 463-6520	20830/05727/DC/11627.1			

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POEMARK	Substitute for f	orm 1449.	A/PTO	Complete if Known				
		ı nıe	CLOSURE	Application No.	09/818,199			
			PPLICANT	Filing Date	March 26, 2001			
SIA	LEMENT	o i Ai	PLICANT	First Named Inventor	Steven W. Meeks			
				Art Unit	2877			
				Examiner Name	Unknown			
Sheet	1	of	1	Attorney Docket Number	20830-05727			

			U.S. PATE	ENT DOCUMENTS			
		Document No.					
Examiner Initials*	Cite No.1	Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	A1	US-6107637	08/22/2000	Watanabe et al			
	A2	US-6081325	08/27/2000	Leslie et al.			
	A3	US-4870631	09/26/1989	Stoddard			
		-					
					_		
				-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazino, journal, senal, symposium, catalog, etc.), date, pago(s), volume-issue number(s), publisher, city and/or country where published					

			FOREIGN PATENT D	OCUMENTS				
Foreign Patent Document								
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T			
	-							

Examiner Signature	Date Considered	
L		

*EXAMINER. Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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